

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.

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Group:

3745

Confirmation

4997

No.:

Application No.:

10/705,737

Invention:

VARIABLE GEOMETRY TURBINE

Inventor:

John Mulloy

Filed:

November 11, 2003

Attorney

Docket:

HOET-12 (38764-76729)

Examiner:

Richard A. Edgar

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on Janvay

(Signature)

<u>John H. Allie</u>

(Printed Name)

SUPPLEMENTAL INFORMATION DISLCOSURE STATEMENT

Mail Stop: AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure embodiment in 37 C.F.R. §1.56, applicant wishes to bring to the attention of the Examiner the following patents, publication and/or other information listed on the attached PTO Form 1449.

This information is not believed to have previously submitted in this application, and has not heretofore been cited by the Examiner. Copies of the cited non-U.S. references are enclosed in accordance with 37 C.F.R. §1.98. A copy of the European Search Report is enclosed herewith providing the European Examiner's position as to each of the references. The filing of this Supplemental Information Disclosure

01/12/2006 RFEKADU1 00000030

Statement shall not construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56 or prior art.

This statement is being submitted after the mailing of a first Office Action on the merits but before the mailing of a final action under §1.113 or a Notice of Allowance under §1.311. The required fee under 37 CFR §1.18(p) of \$180.00 for consideration of the same is enclosed herewith. Should any additional fees be required, please charge the same to Deposit Account 12-2424, but not including the payment of any issue fees that are or may become due.

Respectfully submitted:

3y<u>:/__</u>

/ John/H. Allie (* Reg. No. 39,088

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PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/705,737		
Filing Date	November 11, 2003		
First Named Inventor	John Mulloy		
Art Unit	3745		
Examiner Name	Richard A. Edgar		
Attorney Docket Number	HOFT-12		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		US- 4,473,223	9/25/1984	Lap	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		WO 02/06636 A1	01/24/2002	Alliedsignal Turbo SA		
		GB 2 264 982 A	9/15/1993	Mercedes-Benz AG		
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Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Therefore that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.